



## PART B - FEE(S) TRANSMITTAL

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P.O. Box 1450  
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20985

7590

03/29/2004

FISH & RICHARDSON P.C.  
12390 EL CAMINO REAL  
SAN DIEGO, CALIFORNIA 92130

06/28/2004 TTRAN2 00000013 061050 10007630

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

|                   |                    |
|-------------------|--------------------|
| S. Gebhart        | (Depositor's name) |
| <i>S. Gebhart</i> | (Signature)        |
| 06/25/2004        | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |
|-----------------|-------------|----------------------|-------------------------|------------------|
| 10/007.630      | 11/09/2001  | Ming Cai             | 06618/736001 / CIT-3345 | 9976             |

TITLE OF INVENTION: DUAL-WAVELENGTH HYBRID WAVEGUIDE COUPLER

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | YES          | \$665     | \$300           | \$965            | 06/29/2004 |

| EXAMINER          | ART UNIT | CLASS-SUBCLASS |
|-------------------|----------|----------------|
| CALRY, MICHAEL H. | 2871     | 385-043000     |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

[ ] Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

[ ] "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Fish &amp; Richardson P.C.

2.

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY and STATE OR COUNTRY)

California Institute of Technology

Pasadena, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): [ ] individual [X] corporation or other private group entity [ ] government

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[X] Issue Fee  
[X] Publication Fee  
[X] Advance Order - # of Copies 10

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Director of Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

Scott C. Harris  
32,030

(Date) June 25, 2004

BY  
BING AI  
REG. NO. 43,312

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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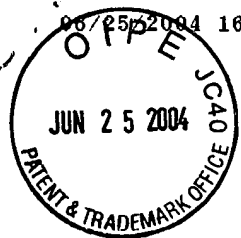
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SUBSTITUTED PTO-85 (Rev. 10/03) Approved for use through 04/30/2004.

OMB 0651-0033

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

PAGE 3/4 \* RCVD AT 6/25/2004 7:33:10 PM [Eastern Daylight Time] \* SVR:USPTO-EFAXF-2/0 \* DNIS:7464000 \* CSID:8586785099 \* DURATION (mm:ss):03:14



Attorney's Docket No.: 06618-736001  
Client's Ref. No.: CIT-3345

**OFFICIAL COMMUNICATION FACSIMILE:**  
**OFFICIAL ISSUE FEE PAYMENT FAX NO: (703) 746-4000**

Number of pages including this page 4

Applicant : Ming Cai et al.  
Serial No. : 10/007,630  
Filed : November 9, 2001

Art Unit : 2871  
Examiner : Michael H. Caley

Title : DUAL-WAVELENGTH HYBRID WAVEGUIDE COUPLER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is a Response to Notice of Allowance (1 page) and an Issue Fee Transmittal (1 page in duplicate), faxed this 25<sup>th</sup> day of June 2004, to the United States Patent and Trademark Office.

Respectfully submitted,

Date: June 25, 2004

Scott C. Harris  
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/sxg

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Attorney's Docket No.: 06618/736001 / CIT-3345



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ming Cai et al.      Art Unit : 2871  
Serial No. : 10/007,630      Examiner : Michael H. Caley  
Filed : November 9, 2001  
Title : DUAL-WAVELENGTH HYBRID WAVEGUIDE COUPLER

**MAIL STOP ISSUE FEE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Notice of Allowance Date: 3/29/2004

RESPONSE TO NOTICE OF ALLOWANCE

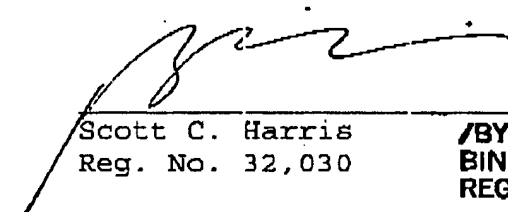
In response to the Notice of Allowance mailed March 29, 2004, enclosed are a completed issue fee transmittal form PTOL-85b and payment of \$995 for the required issue and publication fee, including 10 patent copies.

Please apply all applicable charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date: June 25, 2004

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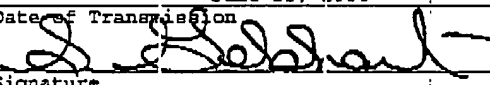
  
\_\_\_\_\_  
Scott C. Harris  
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